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PATENT APPLICATION

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of

Docket No: Q63941

#11

Kenichiro SATO, et al.

Appln. No.: 09/834,639

Group Art Unit: 1752

Confirmation No.: 3676

Examiner: Yvette M. Clarke

Filed: April 16, 2001

For: POSITIVE PHOTORESIST COMPOSITION

**INFORMATION DISCLOSURE STATEMENT
UNDER 37 C.F.R. §§ 1.97 and 1.98**

Mail Stop RCE

Commissioner for Patents

P.O. Box 1450

Alexandria, VA 22313-1450

Sir:

In accordance with the duty of disclosure under 37 C.F.R. § 1.56, Applicant hereby notifies the U.S. Patent and Trademark Office of the documents which are listed on the attached PTO/SB/08 A & B (modified) form and/or listed herein and which the Examiner may deem material to patentability of the claims of the above-identified application.

One copy of each of the listed documents is submitted herewith.

A request for continued examination (RCE) under §1.114 is being filed concurrently herewith. Thus, the present Information Disclosure Statement is being filed before the mailing date of the first Office Action after filing a request for

INFORMATION DISCLOSURE STATEMENT

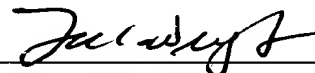
U.S. Appln. No.: 09/834,639

continued examination (RCE) under §1.114, and therefore, no Statement under 37 C.F.R. § 1.97(e) or fee under 37 C.F.R. § 1.17(p) is required.

In compliance with the concise explanation requirement under 37 C.F.R. § 1.98(a)(3) for foreign language documents, attached hereto is an English language summary of the relevance of the first six listed Japanese language documents. The two U.S. Patent Application publications are submitted for the concise explanation requirement for the last two Japanese language documents.

The submission of the listed documents is not intended as an admission that any such document constitutes prior art against the claims of the present application. Applicant does not waive any right to take any action that would be appropriate to antedate or otherwise remove any listed document as a competent reference against the claims of the present application.

Respectfully submitted,



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PATENT TRADEMARK OFFICE

Date: September 2, 2003



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The relation between each of References and the Invention is tabulated below.

1. JP-A-2000-159758

	Invention	Reference	Relation
Lactone	Partial structures (I-1) and (I-3)	Repeating units (Ia) and (Ib)	The invention encompasses the reference.
Norbornene	(I)	(III)	The invention encompasses the reference.
Acid-decomposable unit	(NII)	Repeating unit (C)	The reference encompasses the invention.

2. JP-A-2002-131917

	Invention	Reference	Relation
Lactone	Partial structures (I-1) to (I-4)	No description	No relation
Norbornene	(I)	(I)	The same
Acid-decomposable unit	(NII)	(II)	The invention encompasses the reference

3. JP-A-11-305444

	Invention	Reference	Relation
Lactone	Partial structures (I-1) to (I-4)	No description	No relation
Norbornene	(I)	(III)	The invention partly overlaps with the reference.
Acid-decomposable unit	(NII)	(I)	The invention encompasses the reference.

4. JP-A-2001-142213

	Invention	Reference	Relation
Lactone	Partial structures (I-1) to (I-4)	Partial structures (I-1) to (I-4)	The same.
Norbornene	(I)	No description	No relation
Acid-decomposable unit	(NII)		The reference encompasses the invention.

5. JP-A-2001-188346

	Invention	Reference	Relation
Lactone	Partial structure (I-1)	Partial structure (1)	The invention partly overlaps with the reference.
Norbornene	(I)	(5), but acid-decomposable units are essential	No relation
Acid-decomposable unit	(NII)	(6)	The invention encompasses the reference.

6. JP-A-2001-240625

	Invention	Reference	Relation
Lactone	Partial structure (I-1) to (I-4)	No description	No relation
Norbornene	(I)	(IIIg), but acid-decomposable units are essential	The invention encompasses the reference.
Acid-decomposable unit	(NII)	(IIId)	The invention encompasses the reference.